1 UNCOVERING THE HOLES AND CRACKS: FROM ANECDOTE TO TESTABLE

2 HYPOTHESES IN PREDATION STUDIES

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- 7 **Abstract:** Biological interactions between organisms have long been believed to be very
- 8 important in structuring communities and, scaled up over geological time, in the evolution of
- 9 organisms. Investigations of palaeontological evidence for predator-prey interactions have
- been popular pursuits and a number of attractive hypotheses have been proposed which link
- increased predation pressure with a whole range of morphological and ecological changes
- which are apparent over the course of the Phanerozoic. In particular studies of fossil drill
- holes and repair scars in shelly prey have been common targets for research. However the
- nature of some of our data has been rather anecdotal and restricted in range. Perhaps we
- should be more concerned that we are not picking up the true range of natural variability.
- 16 This paper aims to highlight the sources of variability in our data and, going forward, to urge
- the collection of quantitative data from many more samples and (palaeo)environmental
- settings in order that we might properly be able to separate the intrinsic natural variability in
- 19 our data from robust temporal or spatial trends.
- 20 **Keywords:** predation, drill holes, repair frequency, palaeoecology, macroevolution

- 22 THE profound impact of biological interactions in modern communities (both marine and
- 23 terrestrial) is well known. While Taylor (2016) reviewed the importance of competition
- between organisms, this contribution focuses on the importance of predatory interactions in
- 25 the marine realm.
- At the level of the solitary organism the impact of predatory activity is clear; successful
- 27 predation is, of course, terminal resulting in loss of potential fecundity. Even an unsuccessful
- 28 predation attempt incurs costs on the fitness of the target prey organism through the
- 29 metabolic costs associated with both hard and soft tissue repair and the consequent re-
- allocation of resources to this end. It is also likely that leakage of body fluids may make a
- second attack more likely (Vermeij 1983). For colonial organisms, predation is more similar
- 32 to grazing and the case is less straightforward. Loss of individuals, although not terminal,

- 1 may reduce overall colony fitness through costs associated with induced defences or loss of
- 2 reproductive structures (Harvell 1986; Rotjan and Lewis 2009; Wang et al. 2015) but may be
- 3 beneficial in other ways by allowing removal of competitors or helping to create recruitment
- 4 opportunities where space is limited (e.g. Mumby 2009). At the community level, predators
- 5 are known to control the distribution and diversity of prey organisms by preventing
- 6 superabundance and domination by major space occupiers (Connell 1970; Paine 1974;
- 7 Menge and Sutherland 1987) and gradients in predation pressure are thought to influence
- 8 latitudinal species diversity (Freestone and Osman 2010; Freestone et al. 2011).

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AN EVOLUTIONARY IMPORTANCE FOR PREDATION?

- 11 It is perhaps a relatively easy step to scale up the importance of predation in modern
- communities to an importance on evolutionary timescales and it is clear from the fossil record
- that some organisms have been attacking others from at least the late Pre Cambrian
- 14 (Bengston and Zhao 1992). Many attractive hypotheses have been advanced that invoke
- changes in either levels or styles of predation pressure to explain a range of
- macroevolutionary phenomena (Jablonski 2008). From the evolution of hardparts during the
- 17 Cambrian explosion (Vermeij 1989; Knoll 2003) and set against a backdrop of the
- intensification and increased sophistication of predation during the mid-Palaeozoic (Signor
- and Brett 1984; Brett and Walker 2002) and Mesozoic marine revolutions (Vermeij 1977,
- 20 1978, 1987) a range of changes have been envisaged that might be attributable to increased
- 21 predation pressure. These include the appearance of morphological adaptations such as thick
- or spiny shells, reduced exposed apertures (Vermeij 1977, 1987; Kelley 1989; Klompmaker
- and Kelley 2015), the adoption of new life habits such as deep burrowing (Kier 1974,
- Stanley 1977) and reduction of 'exposed' epifaunal habits, such as epibyssate attachment
- 25 (Skelton et al. 1990) and shifts in distribution with more susceptible taxa being restricted
- particularly to refuges (Stanley 1977; Jablonski and Bottjer 1990; Baumiller and Gahn
- 27 2003).
- In particular, Vermeij (1987) has been a driving force in generating a whole range of
- 29 hypotheses concerning the evolution of predators and their prey, introducing the notion of
- 30 escalation where the driving force behind predator-prey evolution is governed by the
- 31 predator's own enemies rather than the classic arms race in which the prey 'lead', and
- 32 compiling the first real sets of data that might test these ideas. That seminal contribution
- ignited a whole field; Vermeij (1987) has been cited over 1370 times (Googlescholar July

1 2016). Predation studies in the fossil record have become a hive activity as witnessed by the

proliferation of papers, particularly on molluscan subjects. However some of our data has

3 been rather anecdotal, often restricted to a few specimens or single localities. There are

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obvious problems with trying to construct long term trends from very few data points. As a

result we have not managed to test the major ideas and hypotheses because we are as yet to

gain a good grasp of the complex variability of our data nor to grapple with the timescales

7 necessary (Jablonski 2008). The more we have looked the more complex, and therefore

8 interesting, the issues have become. Some of the very few large scale data-rich studies have

that been performed concern drilling predation on bivalve and gastropod prey from U.S. Gulf

Coast in the wake of the K-Pg mass extinction (summarised by Kelley et al. 2001, Kelley

and Hansen 1993, 2006). Those studies have revealed a complex series of escalation series

mediated by the K-Pg and later mass extinctions and a perplexing lack of evidence that mass

extinctions selectively remove more escalated prey (Hansen et al. 1999).

Clearly we need time to study the impact of predator-prey interaction on evolution but we also need more focus on larger data sets and to understand variability over a range of time and length scales. This contribution puts forward a very personal view point of the issues we need to consider in order to be able to better interrogate the fossil record of predator-prey interactions and to assess the generality of the conclusions we can draw. It underlines points which may seem well known but which, in our haste to resolve grand hypotheses (a seeming necessity for winning grants or writing high impact papers), are easily overlooked.

WHAT CAN WE ACTUALLY MEASURE FROM THE FOSSIL RECORD?

If we are to have any sense from the fossil record of changing predation levels over time or

between environments we require some measure of predation pressure. Yet such a simple

idea is actually rather difficult to measure, even in modern settings.

The fossil record has revealed a number of iconic specimens which seem to catch predators in the act, or at least catch them 'red handed'. For example, Vannier (2012) described the plentiful undigested skeletal remains of shell organisms (e.g. hyolithids and brachiopods) in the guts of the many individuals of the Cambrian priapulid worm *Ottoia* and Pollard (1968) described the occurrence of cephalopod hooks in guts of an ichthyosaur. Such findings rely, however, on very exceptional preservation and still only tell us that a particular style of predation was utilised at a particular geological time rather than giving us a measure of this type of activity. As is well known, many predator groups have known

- 1 feeding methods that require neither fossilizable hardparts that we might recognise under
- 2 'normal' taphonomic conditions nor leave diagnostic damage on their fossilised prey
- 3 (Vermeij 1987; Harper 2006). Even where there might be some recognition that an organism
- 4 has predatory functional morphology (such as claw or jaws) which *might* provide us with the
- 5 suggestion that a particular group may have fed in a particular way this is not incontrovertible
- 6 evidence that they *did* or that the structure evolved for that specific function rather than being
- 7 co-opted for that function by subsequent exaptation (Vermeij 1982a). In short, observations
- 8 from the predators themselves do not offer the opportunity to collect systematic data and even
- 9 recognising that some may have fed in a particular way does not automatically allow us to
- 10 construct guilds by collating all taxa within a particular higher taxon as feeding in a particular
- way (Chalcraft and Resetarits 2003). These points render many seemingly attractive
- hypotheses untestable. For example, Donovan and Gale (1990) suggested the post-Palaeozoic
- decline in rhynchonelliform brachiopods might be attributed, at least in part, to the rise in
- extra-oral feeding asteroids. Although that suggestion provoked a certain amount of lively
- debate (Blake and Guensburg 1990; Vermeij 1990; Pratt 1991; Gale and Donovan 1992) it is
- difficult to conceive of an adequate test.
- Our best opportunity to collect data of sufficient quality and quantity to test
- 18 hypotheses is to use prey remains that can be recognised and counted. Automatically we are
- 19 then restricted to investigating only certain styles of predation, namely drilling and some
- 20 types of crushing.
- 21 Drilling predation
- Of all the different styles of predation, drilling is the most easily and uncontroversially
- recognised in dead shell material using the criteria of Baumiller (1990) (Fig. 1A). This has
- opened up a whole range of avenues to explore the metrics of this behaviour including
- 25 frequency of attack, success rate, prey size preference and stereotypy of attack siting. It is not
- 26 my intention to review these here; thorough discussions already exist (Kitchell et al. 1981,
- 27 1986; Cortez et al. 1998; Kelley and Hansen 2003; Harper 2003). The relative ease with
- 28 which quantitative data can be extracted from drilled prey items has made them very popular
- 29 targets for research, requiring little or no sophisticated equipment and relatively little prior
- training (Hattori *et al.* 2014). As a result in the last 30 years there have been a large number
- of published studies, both in the peer-reviewed literature and as conference contributions.
- However, there may be issues. Many studies are perhaps marred by very small data sets and

- there may be problems of interpretation, for example in identifying the actual driller
- 2 (Bromley 1981; Dietl and Kosloski 2013) andit is known that some prey may be fed on
- 3 without completing their drill holes, at least in aquaria, thus giving the false impression that
- 4 the attack may have been incomplete (Ansell and Morton 1987; Kowalewski 2004) although
- 5 these may be artefacts of tank experiments where predators behave atypically or the health of
- 6 protagonists may be compromised (Visaggi et al. 2013). Additionally, there may be
- 7 taphonomic issues associated with the transportability or the mechanical strength of drilled vs
- 8 non drilled shells which might distort the relative numbers prey in any sample (Lever *et al.*
- 9 1961; Roy et al. 1994; Chattopadhyay et al. 2013; Molinaro et al. 2013; Tapanila et al.
- 10 2015). These are essentially issues that must be resolved at each locality where samples are
- being analysed.
- 12 Crushing predation
- 13 The recognition and metrification of crushing predation has been much more problematic.
- 14 Although many predatory taxa crush open the shelly defences of their prey, the resultant
- debris may be undiagnostic and very difficult to distinguish from damage caused by abiotic
- or post mortem breakage. In some cases damage may appear distinctive, for example the
- apparent bite marks on ammonites (Kauffman and Kesling 1960; Martill 1990) but even so
- these may be equivocal as alternative suggestions have been made (Kase et al. 1994) and,
- these are again, in one way or another 'exceptionally preserved'. Their value is largely to
- 20 tell us that a particular style of predation was happening to some degree at a particular
- location and time, and do not provide absolute data that might be used to compare between
- samples. The best metrics for crushing come from studies of the repair of failed crushing
- predation which at least indicate that the damage was pre-mortem (Fig. 1B). Such studies
- 24 have dwelt particularly on molluscs and brachiopods (reviewed by Alexander and Dietl
- 25 2003)). Gastropods dominate such datasets because of the relative ease of with they are able
- to repair (Vermeij 1983). Again, however, it may be difficult, particularly for single
- 27 specimens, unequivocally to discriminate between the repair of damage produced by
- crushing predators and that caused by abiotic processes such as crushing by rolling clasts or
- 29 ice (Shanks and Wright 1986; Cadée 1999) or sustained during burrowing (Checa 1993).
- 30 This matter may be resolved where there are sufficient data by recognising patterns
- 31 (Kowalewski 2002; Harper et al. 2009; Harper et al. 2012) and, in some instances, it may be
- 32 possible to gain a sense of proportion of abiotically damaged specimens by examining levels
- of breakage on shells known to have been killed by drilling (Stafford and Leighton 2011).

- 1 Perhaps counterintuitively, the uncertainty of identifying crushing predation and the attendant
- 2 need for more data to allow pattern recognition has meant that the literature is less full of
- 3 reports on single/few repairs than is the case for drill holes. However, the main concern with
- 4 these studies is actually the extent to which studies of repair frequency reflect predation
- 5 frequency; prey which is heavily attacked by highly efficient and effective predators may
- 6 have similar repair frequencies to those which are almost never attacked (Alexander 1981).
- 7 There is an extent to which these concerns may be exaggerated. Studies which have
- 8 investigated predation efficiency in natural environments have shown that failure is
- 9 commonplace (Vermei 1982a,b) and, comfortingly, Stafford et al. (2015) in a study of
- 10 Recent gastropods from 6 sites in Canada show that repair frequency correlated best with
- crab abundance, suggesting that in this instance, repair frequency is a good proxy for
- crushing predation pressure although further studies are required to test whether this is
- 13 reliably so.

14 HOW WELL CAN WE LINK PREDATORS AND THEIR PREY?

- Aside from the fact that we are measuring only some, perhaps relatively few, types of
- predation, there are other concerns if we wish to attempt to link the acquisition of perceived
- defensive adaptations or life habit or distributional shifts to a particular predation pressure
- rather than a more generalised notion. Although some predators may be highly adapted to
- 19 feed on one prey taxon many are highly opportunistic eating a whole range of different prey
- 20 (including carrion). As such the influence of a particular predatory taxon may be quite diffuse
- 21 (Walker and Brett 2002). In the gastropods for example, members of the Mitridae and the
- 22 Cassidae are specialised for feeding on sipunculans and echinoderms respectively, whereas
- 23 members of the Muricidae and Buccinidae are generalists (Taylor *et al.* 1980; Taylor 1989)
- 24 although again specific species or even individuals may concentrate and be habituated on
- 25 particular prey items (Hughes and Dunkin 1984). In practice, many of the predatory taxa we
- are interested in charting over evolutionary time are catholic in their diets and so we may be
- 27 faced with a situation where we are seeing and measuring only part of their activities as some
- of their prey will had better preservation potentials than others; frequency tallies from the
- 29 fossil record may reflect taphonomy more than real vulnerability and preference. Also many
- 30 predators may feed in different ways on different taxa and so we may capture only part of the
- 31 repertoire of any particular predator. For example, Todd and Harper (2010) pointed out that
- 32 although the records of highly stereotyped drill holes in the bivalve *Venericor* from the
- London Clay at Nursling (UK) are the only evidence we have of co-existing octopods in that

- fauna, it is highly unlikely that these were their only prey. Octopods attack in a range of
- 2 different ways and only drill their more 'tricky' prey (McQuaid 1994; Steer and Semmens
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From the point of view of the prey, it is important to accept that in recognising and assessing predation from trace fossils we are, in most instances, collecting data for guilds of predators and not for particular predatory species. Many of the prey taxa that we are often interested in may have a wide range of predators and one of the well known paradoxes in predation studies is that although it is often easy to identify styles of predatory damage it is might not be possible to link those activities to a particular predatory taxon (Bromley 1981). Different predators with different predatory strategies may result in different defensive adaptations. For a prey taxon that is a 'common' food source for a variety of predatory groups there may be trade-offs to make in adaptations, for example emphasis of armour by thickening shells may compromise mobility

Where a number of different predatory taxa use similar attack methods the effects of each will be difficult to disentangle if all we have is the damage of each. Although the overall patterns may be similar, different predatory taxa will have their own preferences, handling strategies and success rates. For example Kabat and Kohn (1986) reported predation on 10 co-existing naticid taxa from the Pleistocene of Fiji. Of these, six taxa were perforated by naticid-type drill holes.lAthough it was possible to look at size relationships and patterns of drilling predation for each of the prey species it was not possible for them to be matched with any, or all, of the 10 potential drillers in order to investigate their individual strategies and preferences and so no prospect of addressing the interesting question as to any were indulging in cannabalism. It is possible that large enough data sets might allow speciesattributed drill holes to be identified by their precise geometries or associated rasping traces (Kowalewski 2004; Grey et al. 2005; Schiffbauer et al. 2008) but such 'finger printing'determinations require a large amount of material and the variation, particularly in outline and where the prey have different thickness and microstructures, and the need for individual inspection by electron microscope may make such determinations sadly totally impractical. Failure to be able to discriminate between the activities of confamilial predators in a sample may be vexing but more critical where two unrelated predators may be at work. Casey et al. (2015) re-examined the case of naticid-like holes from the Neogene Atlantic Coastal Plain of the U.S. which has been extensively used in predator-prey studies. They argued, based on understanding of stereotypy and prey size considerations for modern

- 1 naticids, that the large muricid gastropod *Ecphora* co-occurs may well have been responsible
- 2 for some of the drill holes and their activities may blur the signal proposed for naticid
- 3 predation. However, there was no reliable way to distinguish between the two types of drill
- 4 holes created. Muricids and naticids drill by simlar means but have very different ways of
- 5 handling their prey; naticids envelope and grapple with their prey using their large foot and
- 6 drill with an accessory boring organ (ABO) located in the proboscis whereas muricids
- 7 essentially perch on top of their prey and drill using an ABO in the foot (Carriker 1981). We
- 8 might expect these different handling strategies to result in different predator-prey size
- 9 relationships, levels of stereotypy and levels of success (as measured by complete drill holes)
- 10 (e.g. Casey et al. 2015) and similarly we might expect them evolutionarily to select for
- 11 different defensive adaptations in the prey.

12 BLURRING THE BIOLOGICAL SIGNAL: MISSING THE NATURAL VARIATION

- 13 Single specimens with predation damage, however fascinating, cannot provide us with the
- 14 kind of data we need to test hypotheses. Ideally we need multiple examples from a single
- site; Vermeij et al. (1989) uses the figure of at least 10 drilled individuals in order to
- calculate drilling frequency and 10 drill holes before calculating prey efficiency but it is clear
- that in order to detect subtle differences we need much larger data sets. One of the criticisms
- of earlier analyses has been that although lumping data from different species or genera into
- 19 higher taxon units provides larger sample sizes it might not be appropriate (Harper et al.
- 20 1999; Kowalewski 2002, Vermeij 2002). Although Kelley and Hansen (2006) found that
- 21 there were no significant differences in their drilling frequency analyses in Coastal Plain
- drilling patterns over the Cenozoic when they analysed lower taxon units rather than by
- assemblage level data (Kelley et al. 2001), there were significant difference in the results of
- 24 changes in success and failure rates. The importance of analysing data from lower taxonomic
- units may vary from assemblage to assemblage but failure to do so runs the risk of lumping
- 26 taxa together which never shared a habitat in life and had no chance of interacting. Because
- 27 it is well known that many predators select their prey on the basis of size, as noted above, it
- should also be important to examine patterns of predation within particular size classes in
- order to standardise tests between sample sites (Ottens et al. 2012; Dietl and Kosloski 2013).
- These requirements are very limiting for many studies as the numbers of individuals required
- 31 becomes prohibitively difficult to obtain without increasing fears of time and space
- 32 averaging.
- Although single sites might show us a particular phenomenon or the first evidence of a
- particular type of predation, they also may be used to chart changes at a very specific locality

- 1 over important or interesting time intervals, for example mass extinctions and their
- 2 aftermaths. However, if we are to pick up true patterns in predation pressure over
- 3 evolutionary change we need to examine different sample sites and to have some
- 4 understanding of the variability that might be expected either spatially or temporally. This
- 5 potential variability occurs over a range of different length scales;
- 6 predation pressure may vary over small distances or even short periods of time. The sources
- 7 of this variation may be due to some combination of primary biological signal and
- 8 taphonomic artefacts and the two need to be disentangled. If we sample over too coarse a
- 9 temporal or spatial range we risk a recording a meaningless average.

Small scale biological variation

- 11 The seafloor is a complex mosaic of different habitats and consequently the availability of a
- particular prey taxon maybe somewhat patchy. This patchiness may be persistent over long
- periods but equally may be of short term duration modulated by seasonal changes and the
- short spans of opportunistic fauna (Staff et al. 1986). Habitat complexity may also have a
- significant impact on both local predator abundance and also predation intensity (Hereu *et al.*)
- 16 2005). Where preferred prey is restricted either to habitat 'islands' or in time, predators may
- have to show a certain amount of behavioural flexibility to cope with less desirable prey. The
- impact of patchy prey distribution will be different on different sorts of predators. For
- example highly mobile predators, such as fish, may be able to forage for their preferred prey
- 20 over large distances, whereas slow moving gastropods may be restricted to feeding on what is
- in a relatively small area.
- Cadée et al. (1997), following Schmidt (1989), highlighted high interspecific and
- 23 interhabitat variation in shell repair frequency in gastropods within a relatively small (10
- 24 km²) study area including tidal channels and flats with intervening salt marshes within a
- 25 modern Californian bay area. Whilst a survey such as that described can pick up variation in
- a survey of a modern area where samples can be collected *in situ*, they identified a trio of
- 27 taphonomic problems which would hamper any palaeoecological study that attempted to
- 28 explore these patterns using the type of shelly deposit that a palaeontologist might usually
- deal with: time averaging, habitat mixing and collection averaging. Cadée et al. (1997) make
- 30 the point that 'The fact remains that there is as much variation in repair frequency among
- 31 species and microhabitats at one time (the Recent) in the geological record as there is
- throughout the record' (p, 76). This is a sombre message for anyone trying to establish long
- 33 temporal trends and patterns from relatively few sampling sites.

Broader scale biological variation

- 2 On a much broader spatial scale we might expect large variations in primary pattern of
- 3 predation. Suggestions that predation pressure in the modern oceans decreases with both
- 4 increasing latitude (Paine 1966; MacArthur 1972; Freestone et al. 2011) and increasing water
- 5 depth are well known paradigms (Vermeij 1987; Aronson and Blake 2001). Perhaps
- 6 counterintuitively the empirical data to support these are surprisingly sparse (Schemske *et al.*
- 7 2009; Freestone et al. 2011) but this probably reflects the fact that studies of sufficient
- 8 magnitude and replicability, particularly outside shallow accessible water, are both time and
- 9 resource intensive. Alternative ways of studying such variation employ what are ostensibly
- palaeontological techniques of looking at shell repair and damage (Vermeij et al. 1980;
- Alexander and Dietl 2001a; 2003). Many studies have picked up a latitudinal increase in the
- 12 frequency of crushing predation, as judged from repair metrics, towards the tropics (Vermeij
- 13 1978; Vermeij et al. 1981), although as Alexander and Dietl (2003) point out, repair data for
- boreal and polar gastropods are sparse. One reason for this, at least in the Southern Ocean, is
- the absence of crushing crustaceans and restricted fish fauna (Aronson & Blake 2001;
- Aronson *et al.* 2007). The pattern from drilling seems less clear. Some studies, for example
- 17 Alexander & Dietl (2001b) and Visaggi et al. (2015) have demonstrated an increase in naticid
- drilling with decreasing latitude but others have not (Vermeij *et al.* 1989; Kelley and Hansen
- 19 2007). No comparable analysis has been published for muricids. Drilling is a time
- 20 consuming, and in itself risky, operation. Levels of drilling predation may be affected by
- 21 latitude in different ways. As it is primarily a chemical processes (Carriker 1981), penetration
- rates will be faster at higher temperatures leaving less risk of disturbance by either abiotic
- 23 factors (such as waves or emersion with the resultant threats of desiccation or heat shock) or
- by intraspecific competitors or their own predators (Vermeij 1993). Harper and Peck (2003)
- 25 reported the Antarctic muricid *Trophon longstaffi* taking 29 days to complete a bivalve meal
- in aquaria, compared to a matter of hours in the tropics (Patterson et al. 1982). However,
- 27 competition and pressure from their own predators may be greater at lower latitude, as may
- prey shell thickness to be penetrated (Vermeij 1993). These factors may influence both
- 29 frequency of drilling predation and the likelihood of recording failed (=incomplete drill
- 30 holes).
- There have been few attempts to obtain data for modern predation at a range depths
- and most studies have only sampled a modest depth range. Although Sander and Lalli (1982)
- found no significant variability in drilling predation across the margin of the Bermudan

- 1 platform, Sawyer & Zuschin (2010) did discover differences between drilling frequency
- between intertidal and sublittoral prey in the Adriatic. Harper and Peck (2016) examined
- 3 repair in rhynchonelliform brachiopods over a depth range of 4000m which showed a strong
- 4 negative correlation between the frequency of crushing repairs and increasing water depth.

Given the kind of variability that we might expect both local levels and broader

6 geographic zones it is not surprising that the levels of observed predation is very variable

indeed. The analysis by Harper and Peck (2016) for repair of crushing damage from

rhynchonelliform brachiopods from 112 shallow water sites across the southern hemisphere

9 and into low latitudes in the northern hemisphere clearly shows the variability in this metric.

10 The maxima in repair frequency shows a robust pattern of highs in the mid latitudes and lows

in the tropics and sub polar region but even in the mid latitudes there are a number of samples

which yield values of, or close to, zero. These data suggest that trying to extract

evolutionary patterns from effectively random single sites from the fossil record may fail to

show real patterns and, even where they do show anticipated patterns, replication should be

sought. Moreover, most single site studies (either neontological or palaeontological) are not

random. No one publishes this type of data if the frequency is, or is close to, zero. Instead

they are heavily biased towards sites with intense predation (Baumiller and Bitner 2004;

Baumiller et al. 2006). More modern baseline studies of the type of Alexander and Dietl

(2001a) and Harper and Peck (2016) are required to establist the true range of variation in

20 predation frequency. These will provide a better framework for understanding single

21 palaeontological study sites and also the variation that has been recorded in some Cenozoic

drill hole studies, for example Kelley and Hansen (1996) and Hoffmeister and Kowalewski

23 (2001).

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LONG TERM TAPHONOMIC ISSUES

25 If one of the goals of predations studies is to pick up major temporal shifts in levels and

patterns of predation then it must be accepted that the signal may be blurred, or worse

27 modified, by taphonomic processes. There is a perennial concern of time and spatial

averaging in the fossil record; accumulated shell beds may record a mixture of individuals

over hundreds or even thousands of years (Kowalewski et al. 1998) thus presenting us with

difficulties in comparing results and a mismatch of scale with neontological data (Jablonski

2008). However, other taphonomic modifications may be particularly problematic if they

reflect changes in unrelated biological factors or secular variation in the physical environment over long periods of geological time.

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3 One particular factor to consider is the mineralogical and microstructural make up of prey organisms and how their different preservation potentials impact how easily we can 4 recognise predation traces. Importantly do major shifts in potential influence our reading of 5 the reading of the predation record? It is striking that much of the evidence of Palaeozoic and 6 7 Mesozoic predatory activity is focussed on calcitic shelled prey such as brachiopods (Alexander 1981, 1986) and echinoderms (Baumiller 1990, 1993, 1996; Baumiller and Gahn 8 9 2003), or those which biomineralised in calcium phosphate, such as inarticulate brachiopods 10 and 'small shelly fossils' (Chatterton and Whitehead 1987; Conway Morris and Bengston 11 1994). There are relatively few data for predation of Palaeozoic molluscs, most of which were originally aragonitic with very low probability of preservation of original shell material, 12 13 and it seems unlikely that mouldic preservation will provide reliably recognizable predation traces. It is difficult to believe that they were relatively immune from predation compared, 14 15 for example, to brachiopods. The only report known to me of damage repair from Palaeozoic bivalves comes from Devonian pterioids in which the original outer shell mineralogy was 16 17 calcitic (Nagel-Myers et al. 2009), although repairs have been studied in Palaeozoic pleurotomariid gastropods which were originally aragonitic (Lindström and Peel 2005). Even 18 in the Mesozoic, most molluscan predation trace fossils are reported most often in taxa which 19 are predominantly calcitic, such as oysters (e.g. Dietl et al. 2000) or in wholly aragonitic taxa 20 in which there has been exceptional preservation of the original mineralogy or microstructure 21 (Taylor et al. 1983; Fürsich and Jablonski 1984; Newton 1987; Harper et al. 1998, 1999). 22 Hoffmeister et al. (2004), report a small number of drill holes in silicified bivalves from the 23 Permian and it would be instructive to research silicified molluscan faunas elsewhere from 24 the Palaeozoic, such as those studied by Cherns and Wright (2000) to search specifically for 25 26 predation damage. As noted by Kidwell and Brenchley (1994), post-Palaeozoic shelly beds 27 are dominated by more durable molluscs and in particular bivalves which became thicker 28 shelled and tended to utilize lower organic shell structures when compared to thinner shelled and more fragile brachiopods. These changes taken together may have conspired to play 29 down the importance of molluscan prey in the Palaeoozoic, and also perhaps to suppress 30 evidence of predators that specialized in feeding upon them. 31

Another potential for causing apparent long term changes occurs in the record of drilling predation. Vermeij *et al.* (1989) observed that the relative importance of drilling

- 1 predators could be exaggerated at sites where crushing predation was high because the
- 2 activities of those crushers removed undrilled dead prey items from any tally of drilled vs
- 3 undrilled dead. This might prove a problem when comparing any set of localities but could
- 4 prove especially problematic where there are major differences between the occurrences of
- 5 crushing predators between sample sites. For example, one of the predictions made by
- 6 Vermeij (1987) concerning mass extinctions is that frequencies of some types of predation
- 7 should increase after such events because well-defended prey are preferentially removed.
- 8 Examination of the changes in drill hole frequency in molluscan prey over mass extinction
- 9 intervals have been popular tests of this hypothesis (Kelley *et al.* 2001; Kelley and Hansen
- 10 2006) but those same extinctions may also have seen major guild changes in crushing
- predators (Walker and Brett 2002).

A PRESSING NEED TO EXPAND DATA SETS

- By far the majority of quantitative studies of predation in the fossil record have been
- undertaken on gastropod and bivalve prey. This preoccupation is understandable as there is a
- very extensive literature on modern predation in these groups which has been of great
- assistance in interpreting the fossil data. It is worth stressing, however, that the data we have
- on modern molluscs is largely limited to commercially important taxa such as scallops,
- mussels, and clams and contemporary data are far fewer for other important bivalve clades
- such as anomalodesmatans or protobranchs (Harper 2011). It is also worth noting that
- 20 although modern mussels are important prey items for a whole host of predatory taxa (Harper
- 21 2006), almost nothing has been reported for fossil mytilids probably because so many of their
- predators leave little or no trace of their activities (Harper 2005). For other taxa, such as
- brachiopods, bryozoans, crinoids there has been little impetus to study predation in modern
- settings (Donovan and Gale 1990) and, for most of these examples, there are probably more
- 25 well known reports concerning predation evidence of fossil material than extant records.
- Indeed much of the observation of extant members of these taxa has been undertaken by
- 27 palaeontologists rather than zoologists and much of it published in the palaeontological
- 28 literature (e.g. Meyer 1985; Oji 1996.
- It is also worth underscoring the point that absence of *reported* evidence of predation is not
- 30 the same thing as a particular taxon suffering zero or insignificant predation. There is also a
- 31 nagging question as to how much predation is significant in either ecological or evolutionary
- 32 terms.

1 Twenty years ago it was easy to dismiss drill holes in fossil brachiopods as almost 2 entirely known from the Palaeozoic (e.g. Smith et al. 1985) or perhaps the records of some predators making 'mistakes' (Kowalewski et al. 2005). Much more effort has now been made 3 to examine drilling in post-Palaeozoic and modern brachiopods (reviewed in Harper, 2011). 4 5 Shell damage and repair has been recorded in 70% of 112 large samples of rhynchonelliform brachiopods from a range of latitudes and depths (Harper & Peck, 2016). Similarly, drilling 6 7 occurs in brachiopod samples from a wide variety of environmental and geographical settings; Harper (2011, Table 2) compiled the drilling frequencies recorded for 29 samples of 8 9 Recent brachiopod single species from the literature varying from <1% to 35% and Harper et al. (2011) presented evidence that gastropod drilling of several New Zealand brachiopod 10 populations appeared both regular and stereotyped. Palaeontological studies have shown that 11 at least at some sites in the geological past levels of drilling predation on brachiopods has 12 been high (Baumiller and Bitner 2004; Baumiller et al. 2006; Tuura et al. 2008; Hiller 2004). 13 It remains unclear whether predation has been important in the post Palaeozoic restriction of 14 brachiopods as suggested by Stanley (1977), but it remains a plausible factor (Jablonski 15 16 2008) and a matter which may be resolved with a greater body of data. Similarly, (Baumiller and Gahn 2003) have described a paradigm shift in the way that predation might be perceived 17 18 in the evolution of crinoids and the restriction of many stalked taxa to refuge environments in the modern oceans. As with brachiopods, a paucity of modern records combined with the fact 19 20 that they do live in low predation refuges had fuelled the notion that there was little interaction between crinoids and predators in evolutionary time. 21 22 Research on recognising and quantifying predation on other potential prey taxa which have good fossil records is at a very much earlier stage. Nevertheless, in the last few years 23 24 there has been a real expansion in the taxonomic targets of predation in fossils including echinoids (Nebelsik and Kowalewski 1999), barnacles (Klompmaker et al. 2015), crustaceans 25 26 (Klompmaker et al. 2013), solitary corals (Webb and Yancey 2010), scaphopods (Klompmaker 2011), ammonites (Kerr and Kelley 2015; Takeda et al. 2016), cornulitids 27 (Vinn 2008) and tube worms (Klompmaker 2012; Martinell et al. 2012). Nevertheless, it 28 takes time to develop an infrastructure for such research, and to establish what questions need 29 30 to be addressed and to assess what types of sampling strategy work. Baseline data for these taxa are still some way away. 31 32 Just as there is a need to expand our repertoire of taxonomic target, much might be gained from expanding away from concentration on North America and European fauna. 33 34 Again in recent years there has been a welcome expansion of horizons and new data are being

- 1 published from different regions such as India (Mallick et al. 2013, 2014), South America
- 2 (Martinelli et al. 2013; Visaggi and Kelley 2015) and Australasia (Tuura et al. 2008; Hiller
- 3 2014). Further expansion of these studies will provide an understanding of broader scale
- 4 spatial variation in predation pressure over evolutionary time. It is already postulated that
- 5 there are strong latitudinal gradients in modern predation and inter-oceanic differences in the
- 6 development of prey defence (Vermeij 1976, 1978, 1987). How have such differences and
- 7 gradients evolved?
- We can often bemoan the lot of a palaeontologist and the incomplete and biased fossil
- 9 record. However, palaeontological methodologies and perspectives can offer real
- opportunities to bridge some of the scale mismatches between palaeontological and
- neontological data (Jablonski, 2008) by providing data collections of a type that can be
- integrated together. Moreover, palaeontologists are able to routinely sample from sediments
- deposited from a whole range of environments and depths which are not accessible to
- 14 neonologists for conventional large scale studies. For the Recent logistical difficulties of
- 15 hamper data collection from all but the shallowest water and even there direct observation is
- limited to largely to either human or ROV dives or periods of tidal emersion. The
- development of techniques to extract quantitative predator-prey interaction data from dead
- shells allow palaeontologists to enhance our understanding on all time scales. But the key
- will be gathering enough high quality data to be able to differentiate between real temporal
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- 18 19
- 20 Figure caption
- 21 Fig.1. Examples of recognisable predation from the fossil record. A. Successful predatory
- drill hole (arrowed) through the valve of *Anadara lienosa* from the Pleistocene
- 23 Caloosahatchee Formation, Florida. B. Repaired apertural damage (arrowed) sustained by Turritella
- 24 imbricateria from the Eocene London Clay Formation, U.K. (CASM C58229). Both scale bars are 10
- 25 mm.
- 26
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- 28

1 Figure 1



